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| Facts | What does DLW Planning, Inc. (“DLW Planning”) do with your personal information? |
| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. |
| What? | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number • Driver’s License Number • Financial Account Number <p>When you are no long our customer, we continue to share your information as described in this notice.</p> |
| How? | All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons DLW Planning chooses to share; and whether you can limit this sharing. |

| Reasons we can share your personal information | Does DLW Planning share? | Can you limit this sharing? |
|--|--------------------------|-----------------------------|
| For our everyday business purposes- such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | No | No |
| For our marketing purposes- to offer our products and services to you | No | No |
| For joint marketing with other financial companies | No | No |
| For our affiliates’ everyday business purposes- information about your transactions and experiences | No | No |
| For our affiliates’ everyday business purposes – information about your creditworthiness | No | No |
| For our affiliates to market to you | No | No |
| For nonaffiliates to market to you | No | No |

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| Questions? | Call 309-825-6949 |
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| What we do | |
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| How does DLW Planning protect my personal information? | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. |
| How does DLW Planning collect my personal information? | <p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • Open an account • Deposit money • Seek financial or tax advice • Seek advice about your investments • Direct us to buy securities • Direct us to sell your securities • Make deposits or withdrawals from your account • Enter into an investment advisory contract • Give us your income information • Provide employment information • Give us your employment history • Tell us about your investment or retirement portfolio • Tell us about your investment or retirement earnings • Provide account information • Give us your contact information • Pay us by check • Tell us who receives the money • Tell us where to send the money |
| Why can't I limit all sharing? | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p> |
| Definitions | |
| Affiliates | <p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • DLW Planning has no affiliates. |
| Nonaffiliates | <p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • DLW Planning does not share with nonaffiliates so they can market to you. |
| Joint Marketing | <p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • DLW Planning doesn't jointly market. |
| Other important information | |
| <p>We also comply with more restrictive state laws to the extent that they apply. For example, if you reside in California, Connecticut, Massachusetts, New Mexico, or Vermont, we will automatically opt you out of sharing your information with non-affiliates for marketing purposes unless you have provided DLW Planning with written authorization to opt you in to such sharing.</p> | |

